AMENDMENTS TO THE CLAIMS

- 2 This listing of claims will replace all prior versions and listings of claims in the application.
- 1. (Original) A method for fabricating a liquid containing intermixed nanoparticulate
- elements of groups IB and IIIA and optionally VIA, comprising the steps of:
- 3 forming elemental non-oxide metal nanoparticles containing elements from group IB; and
- 4 forming elemental non-oxide metal nanoparticles from group IIIA; and
- 5 optionally forming elemental non-oxide nanoparticles from group VIA;
- 6 intermixing the elemental non-oxide nanoparticles from groups IB and IIIA; and
 - optionally VIA, wherein the particles are in a desired particle size range of between about
- 8 0.1 nm and about 500 nm in diameter, wherein, for each element metal, a majority of the
- 9 mass of the elemental metal nanoparticles range in size from no more than about 40%
- above or below an average particle size, or, if the average particle size is less than about 5
- nanometers, from no more than about 2 nanometers above or below the average particle
- 12 size; and

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- 13 mixing the particles to form a liquid that serves as an ink.
 - 2. (Original) The method of claim 1 wherein the group IB element is copper (Cu), the group
- 2 IIIA element is indium and optionally includes gallium) and the group VIA element is
- selenium (Se) or sulfur (S) and a stoichiometric ratio of the Cu, In and Se or S in the
- 4 liquid is approximately CuIn_{1-x}Ga_x(S or Se)₂, where x is between 0 and 1.
- 3. (Original) The method of claim 1 further comprising coating the elemental non-oxide
- metal nanoparticles with a surfactant or polymer.
- 4. (Original) The method of claim 1 wherein forming the elemental non-oxide metal
- 2 nanoparticles includes condensing a metal vapor.
 - 5. (Original) The method of claim 4 wherein the metal vapor includes Cu and/or In, and
- 2 optionally Se.
 - 6. (Original) The method of claim 3 wherein forming the elemental non-oxide metal
- 2 nanoparticles includes laser ablation, mechanical milling, grinding, nucleation from
- 3 vapor, exploding wires by electrical current surge, thermal decomposition of
- 4 organometallic compounds, sonolysis, pulse radiolysis, electrochemical reduction or
- 5 chemical reduction.
- (Original) The method of claim 1 wherein the liquid is formed by mixture with water.

- 8. (Original) The method of claim 1 wherein the liquid is formed by mixture with organic
 solvent.
- 4 9. (Original) The method of claim 1, further comprising adding a capping agent to the
- 5 elemental nanoparticles, wherein the capping agent selected from the group of
- 6 phosphines, amines, alcohols, thiols, ethers, water and glycols, trioctylphosphine oxide,
- 7 trioctylphosphine, triphenylphosphine, pyridine, methanol, ethanol, propanol, butanol,
- 8 ethane thiol, tetrahydrofuran, ethers, ammonia, methyl amine, ethylamine,
- 9 ethylenediamine, and acetonitrile.
- 10. (Original) The method of claim 1, further comprising adding a binder to the elemental nanoparticles.
- 1 11. (Original) The method of claim 1, further comprising adding a fluxing agent to the elemental nanoparticles.
- 12. (Original) The method of claim 1, further comprising adding one or more surfactants,
- 2 polymers, dispersants, binders, modifiers, detergents or additives to the elemental
- 3 nanoparticles.
- 13. (Original) A method for fabricating a liquid containing intermixed elements of groups IB
- and IIIA, and optionally VIA, comprising the steps of:
- 3 forming non-oxide quantum nanoparticles containing elements from group IB; and
 - forming non-oxide quantum nanoparticles containing elements from group IIIA; and
- 5 optionally forming non-oxide quantum nanoparticles containing elements from group
- 6 VIA:

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- 7 intermixing the non-oxide quantum nanoparticles from groups IB and IIIA and optionally
- 8 VIA wherein the non-oxide quantum nanoparticles are in a desired particle size range of
 - between about 0.1 nm and about 10 nm in diameter, wherein, for each element, a majority
- of the mass of the non-oxide quantum nanoparticles range in size from no more than
- about 40% above or below an average particle size, or, if the average particle size is less
- than about 5 nanometers, from no more than about 2 nanometers above or below the
- 13 average particle size; and
- 14 mixing the non-oxide nanoparticles to form a liquid that serves as an ink.
- 14. (Original) The method of claim 13 wherein the non-oxide quantum nanoparticles are
 quantum dots, quantum wires, quantum wells, or quantum rods.

- 17 15. (Original) The method of claim 13 wherein the group IB element is copper (Cu), the
- 18 group IIIA element is indium and optionally includes gallium) and the group VIA
- 19 element is selenium (Se) or sulfur (S) and a stoichiometric ratio of the Cu, In and Se or S
- in the liquid is approximately CuIn_{1-x}Ga_x(S or Se)₂, where x is between 0 and 1.
 - 16. (Original) The method of claim 13 wherein forming non-oxide quantum nanoparticles
- 2 includes a reaction of the type:
- 3 CuCl + InCl₃ (+GaI₃) + TOPSe(S) + TOPO → Cu(Ga, In)Se(S)₂.
- 1 17. (Original) The method of claim 13 wherein forming a mixture of non-oxide quantum
- 2 nanoparticles includes performing a reaction of the type:
- 3 CuCl (or CuI or CuCl₂) + InCl₃ (or InI₃ or GaI₃) + Na₂Se + ligand/capping agent →
- 4 Cu(Ga,In)Se₂.
- 1 18. (Original) The method of claim 13 wherein the ligand/capping agent is selected from the
- 2 group of phosphines, amines, alcohols, thiols, ethers, water and glycols,
- 3 trioctylphosphine oxide, trioctylphosphine, triphenylphosphine, pyridine, methanol,
- 4 ethanol, propanol, butanol, ethane thiol, tetrahydrofuran, ethers, ammonia, methyl amine,
- 5 ethylamine, ethylenediamine, and acetonitrile.
- 1 19. (Original) The method of claim 13 wherein forming a mixture of non-oxide quantum
- 2 nanoparticles includes reacting a single-source precursor to form particles of IB-IIIA-VIA
- 3 material.
- 1 20. (Original) The method of claim 19 wherein the single-source precursor is
- 2 (PPh₃)₂CuIn(SEt)₄ or (PPh₃)₂CuIn(SePh)₄
- 21. (Original) The method of claim 13 wherein forming a mixture of non-oxide quantum
- 2 nanoparticles includes spray co-precipitation of two or more reactants.
- 1 22. (Original) The method of claim 21 wherein one of the two or more reactants is selected
- from the group of metal halides, metal acetates, metal sulfates, metal nitrates, metal
- 3 alcholates, metal carbonates, metal phenolates, metal hydroxides, and organometallics.
- 23. (Original) The method of claim 22 wherein the two or more reactants include one or
- 2 more reactants of the type X/Hal, where X is Cu or In and Hal is chlorine (Cl) or iodine
- 3 (I).

- 24. (Original) The method of claim 23 wherein the two or more reactants further include
- 2 thiourea or selenourea
- 1 25. (Original) The method of claim 13 wherein forming a mixture of non-oxide quantum
- 2 nanoparticles includes performing a reaction of the type:
- 3 (IB)(Et₂CN(VIA)₂)₂ + TOPO → IB-VIA
- 26. (Original) The method of claim 25 wherein IB is Cu and VIA is Se or S.
- 1 27. (Original) The method of claim 13 wherein forming a mixture of non-oxide quantum
- 2 nanoparticles includes performing a reaction of the type:
- 3 (IB)(Hal) + Na₂(VIA) + ligand/capping agent → IB- VIA +2Na(Hal)
- 28. (Original) The method of claim 27 wherein the ligand/capping agent is selected from the
- 2 group of trioctylphosphine oxide, trioctylphosphine, triphenylphosphine, pyridine,
- 3 alcohols (methanol, ethanol, propanol, butanol), ethane thiol, tetrahydrofuran, ethers,
- 4 ammonia, amines (methyl amine, ethylamine, ethylenediamine) and acetonitrile.
- 29. (Original) The method of claim 27 wherein the reaction is of the type:
- 2 CuCl₂ + Na₂Se + Pyridine → CuSe + 2NaI.
- 1 30. (Original) The method of claim 13 wherein forming a mixture of non-oxide quantum
- 2 nanoparticles includes performing a reaction of the type:
- 3 (IB)(Hal) + (IIIA)(Hal) +Na₂(VIA) + Ligand/Capping Agent → IB-IIIA-VIA
- 1 31. (Original) The method of claim 30 wherein the reaction is of the type:
- 2 2InI₃ + 3Na₂Se → In₂Se₃ + 6NaI.
- 32. (Original) The method of claim 13 wherein forming a mixture of non-oxide quantum
- nanoparticles includes sonochemical synthesis of nanoparticles particles containing Se
- 3 with Cu or In or Ga.
- 33. (Original) The method of claim 13 wherein forming non-oxide quantum nanoparticles
- 2 includes preparing metal nanoparticles containing elements of groups IB, IIIA, VIA or a
- 3 IB-IIIA-VIA alloy, by laser ablation, nucleation from vapor, exploding wires by electrical
- 4 current surge, thermal decomposition of organometallic compounds, sonolysis, pulse
- 5 radiolysis, electrochemical reduction or chemical reduction.
- 6 34. (Original) The method of claim 13 wherein the liquid is formed by mixture with water.

- 35. (Original) The method of claim 13 wherein the liquid is formed by mixture with organic
 solvent.
- 9 36. (Original) The method of claim 13, further comprising adding a capping agent to the non-oxide quantum nanoparticles.
- 37. (Original) The method of claim 13, further comprising adding a binder to the non-oxide
 quantum nanoparticles.
- 38. (Original) The method of claim 13, further comprising adding a fluxing agent to the non oxide quantum nanoparticles.
- 39. (Original) The method of claim 13, further comprising adding one or more surfactants,
- 2 polymers, dispersants, binders, modifiers, detergents or additives to the non-oxide
- 3 quantum nanoparticles.
- 4 40. (Original) A method for fabricating a liquid containing intermixed elements of groups IB
- 5 and IIIA and optionally VIA, comprising the steps of:
- 6 forming nanoparticles from group IB; and
- 7 intermixing the nanoparticles from group IB with elements from group IIIA, wherein the
- 8 elements from group IIIA are in molten form, wherein the nanoparticles from group IB
- 9 comprise particles in a desired particle size range of between about 0.1 nm and about 500
- nm in diameter, wherein a majority of the mass of the nanoparticles range in size from no
- more than about 40% above or below an average particle size, or, if the average particle
- 12 size is less than about 5 nanometers, from no more than about 2 nanometers above or
- 13 below the average particle size; and
- 14 mixing the nanoparticles with the molten elements to form a liquid that serves as an ink.
- 15 41. (Original) The method of claim 40 wherein the group IB element is copper (Cu), the
- 16 group IIIA element is indium and optionally includes gallium) and the group VIA
- 17 element is selenium (Se) or sulfur (S) and a stoichiometric ratio of the Cu, In and Se or S
- in the liquid is approximately CuIn_{1-x}Ga_x(S or Se)₂, where x is between 0 and 1.
- 42. (Original) The method of claim 41 wherein a majority of the group IB nanoparticles
- 2 range in size from no more than about 40% above or below an average nanoparticle size,
- or, if the average nanoparticle size is less than about 5 nanometers, from no more than
- 4 about 2 nanometers above or below the average nanoparticle size.

- 43. (Original) The method of claim 40, further comprising adjusting the temperature of the
 Cu-In-Ga mixture until a solid forms and then grinding the solid to form nanoparticles.
- 44. (Original) The method of claims 1, 13, or 40 further comprising the step of capping the
 nanoparticles with an oreanic material.
- 45. (Original) The method of claim 44 wherein the organic material is a small molecule with
- 2 low boiling point.

 1 46. (Original) The method of claim 44 wherein the organic material is selected from the

alcohols (methanol, ethanol, propanol, butanol), ethane thiol, tetrahydrofuran, ethers,

- 2 group of trioctylphosphine oxide, trioctylphosphine, triphenylphosphine, pyridine,
- group of thoctyphosphine oxide, thoctyphosphine, triphenyiphosphine, pyridine,
- 4 ammonia, amines (methyl amine, ethylamine, ethylenediamine) and acetonitrile.
- 1 47. (Original) The method of claims 44 wherein the organic material is pyridine.
- 48. (Original) The method of claim 1, 13, or 40 wherein forming a mixture of non-oxide
- 2 nanoparticles includes selecting particles in the desired particle size range.
- 1 49. (Original) The method of claim 48, wherein selecting nanoparticles in the desired size
- 2 range includes adjusting one or more parameters of a reaction that forms the
- 3 nanoparticles, size-selective precipitation, or ultrafiltration.
- 50. (Original) The method of claims 1, 13 or 40 further comprising adding a water-
- 2 compatible dispersant to the liquid.
- 1 51. (Original) The method of claims 1, 13, or 40 wherein forming the non-oxide
- 2 nanoparticles includes preparing particles in a non-oxygen atmosphere.
- 52. Canceled.

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- 1 53. Canceled.
- 54. Canceled.
- 1 55. Canceled.
- 1 56 Canceled
- 1 57. Canceled.

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- 58. Canceled.
- 59. Canceled.
- 60 Canceled
- 61. (Amended) A method for fabricating a photovoltaic cell active layer containing a IB-
- 2 IIIA-VIA alloy, comprising the steps of:
- 3 forming a liquid ink containing intermixed nanoparticles of elements from groups IB,
- 4 IIIA and optionally VIA, using the method of claim 1, 13, 40 or [52]75; spreading a film
- 5 of the liquid onto a substrate;
- 6 annealing the film to form the active layer; and
- 7 exposing the film to Se-containing vapor.
- 62. (Original) The method of claim 61, wherein the IB-IIIA-VIA alloy is an alloy of copper
- 2 (Cu) with indium (In) or Gallium (Ga) and selenium (Se) or sulfur (S) having a
- stoichiometric ratio of the Cu, In and Se or S of approximately CuIn_{1-x}Ga_x(S or Se)₂,
- 4 wherein x is between 0 and 1.
- 63. (Original) The method of claim 61 wherein the substrate is a polymer or metallized
- 2 polymer.

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- 64. (Original) The method of claim 61, wherein annealing the film includes heating the film
- 2 to a temperature between about 200°C and about 600°C.
- 65. (Original) The method of claim 61, wherein the film is spread onto the substrate and/or
- 2 annealed in a roll-to-roll production system.
- 66. (Original) The method of claim 61, further comprising, winding the substrate into a coil
- 2 and exposing the coiled substrate to selenium vapor.
- 67. (Original) The method of claim 61 wherein annealing the substrate includes winding the
 - substrate into a coil and heating the coiled substrate.
- 68. (Original) The method of claim 61, further comprising winding the substrate into a coil
- 2 and depositing a layer of material on one or more surfaces of the coiled substrate.
- 69. (Original) The method of claim 61 wherein the layer of material includes a transparent conductive oxide

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- 70. (Original) The method of claim 61 wherein forming the liquid, spreading the film of the
- 2 liquid and annealing the film does not include the use of H₂Se to selenize the particles,
- 3 film or active layer.
- 71. (Original) The method of claim 61 wherein forming the liquid, spreading the film of the
- 2 liquid and annealing the film does not include reduction of the particles, film or active
- 3 laver with H₂.
- 4 72. (Amended) A photovoltaic cell, comprising:
- 5 a base electrode;
- 6 a top electrode; and
- 7 an active layer disposed between the base electrode and top electrode, the active layer
- 8 containing a IB-IIIA-VIA alloy, wherein the active layer is formed from a liquid ink
- 9 containing nanoparticles of elements from groups IB, IIIA and optionally VIA, using the
- 10 method of claim 1, 13, 50 or [52]75.
 - 73. (Original) The cell of claim 72 wherein at least one of the base electrode and top
- 2 electrode is transparent.
- 74. (Original) The cell of claim 72 further comprising a layer of cadmium sulfide (CdS), zinc
- 2 sulfide (ZnS), or zinc selenide (ZnS) or some combination of two or more of these
- 3 disposed between the active layer and the top electrode.
- 1 75. (New) A method comprising:
- 2 providing a suspension comprised of one or more liquid metals, wherein the one or more
- 3 liquid metals comprises of at least one group IIIA based material;
- 4 providing a plurality of nanoparticles, wherein the nanoparticles comprise of at least one
- 5 group IB based material; and
- 6 mixing the nanoparticles and the suspension to form an ink configured to be processed
- 7 into a photovoltaic absorber layer.
- 1 76. (New) The method of claim 75 wherein the suspension comprises a first liquid and a
- 2 second liquid, wherein the first liquid comprises the one or more liquid metals.
- 1 77. (New) The method of claim 76 wherein the second liquid comprises a solvent.
- 1 78. (New) The method of claim 76 wherein the second liquid comprises an organic solvent.

- 79. (New) The method of claim 76 wherein the first liquid in the suspension is not mixable
- 2 with the second liquid.
- 80. (New) The method of claim 75 wherein the suspension comprises a mixture of two or
- more immiscible liquids wherein one liquid comprises one or more liquid metals
- 3 dispersed in the other liquid.
- 1 81. (New) The method of claim 75 wherein the suspension comprises an emulsion of one or
- 2 more liquid metals in at least one carrier liquid.
- 1 82. (New) The method of claim 75 wherein the one or more liquid metals comprises of a
- 2 molten mixture of Gallium and/or Indium.
- 1 83. (New) The method of claim 75 wherein the nanoparticles are elemental nanoparticles.
- 84. (New) The method of claim 75 wherein the nanoparticles are binary alloy nanoparticles.